Understanding Human Error and Improving Human Performance
Facts about Human Error

• It thrives in every industry
• It is a major contributor to events and unwanted outcomes
• It is costly, adverse to safety and hinders productivity
• The greatest cause of human error is weaknesses in the organization, not lack of skill or knowledge
• Error rates can never be reduced to zero
• Consequences of errors can be eliminated
Human Error

Blame Cycle

Less communication

Management less aware of jobsite conditions

Latent organizational weaknesses persist

More flawed defenses & error precursors

Individual counseled and/or disciplined

Reduced trust
BLUE THREAT
WHY TO ERR IS INHUMAN
HOW TO WAGE AND WIN THE BATTLE WITHIN

IMPROVING
ACCOUNTABLE
RESponsible

Tony Kern

"Kern's 'empowered accountability' approach is the first major human performance breakthrough in decades."
- John Nance, Author and TV News Analyst
Human Error Lesson #1

Things that have never happened before, HAPPEN ALL THE TIME!

Attributed to Professor Kathleen Sutcliffe
University of Michigan Ross School of Business
2006
Brian Peacock

- Married with Four Children
- 38 Years Old
- 11-year Employee
- Classification – 10 years
- Pay loader Operator
Incident

- Sunday, May 6, 2001 ≈ 7:15 PM
- 910E Caterpillar Front End Loader
- Loader “Snagged” Air Supply Line to Overhead Crane.
- Created Projectile of the Pipe Drop & Fittings
Human Error Lesson #2

Anything less than a conscious commitment to understanding and reducing personal error is an unconscious commitment to accepting their continuing presence and all future consequences.

Blue Threat
Why to ERR is INHUMAN
How to wage and win the Battle Within
Tony Kern
Dawn Ewing

- 32 Years Old
- Engaged to be married
- Safety Committee Person
- March 5, 1998
- 12:20 p.m.

Borkowski & Associates, LLC
Helping Individuals and Organizations Achieve Their Full Potential
Dawn Ewing

- Apparently attempting to move scrap
- Between two unwind stations
- Pinned between a coil car lift arm and...
- Stationary coil
Life is not something that happens to you, you are something that shapes the present and future of your personal and professional life.
You intentionally and mindfully create your future by:

• Systematically structuring and learning from experience
• Mindfully living in the present
• Deflecting violation and error-producing conditions
• Seeing and seizing new opportunities in real time

Thereby creating the future you both intend and deserve.
Some Things To Remember

• 90% of Events are Caused by Something OTHER THAN JUST the Individual

• 95% of People Respond Similarly to the Same Stimuli

• Human Performance is NOT Common Sense

• People Do What They Do, At the Time They Do It, For Reasons that Make Sense to Them at The Time.
Please Respect the Memorial
No Wading, No Coins
Devil’s Kitchen

• Middle aged adult male, educated and experienced in the outdoors.
• Ignored signs, hiked around barricade, broke through crust
• Circumferential 3rd degree burns to lower extremity
• Emergency evacuation
• Weekly doctor visits
Devil’s Kitchen

On interview victim stated:

“I thought the signs were for liability, not actual danger.”

CAUTION: The ground near hydrothermal area is thin, brittle, and slippery. To avoid breaking through and being severely burned, stay on established trails and boardwalks at all times.
We have to make the individual the best final barrier they can be!
Guiding Principles

• Organizational values influence individual behaviors
• Recognition that People are fallible...
• Error-likely situations are predictable and preventable
• Performance is based on reinforcement and self-motivation
• Events can be avoided by understanding causes and applying lessons learned
Performance Modes

Skill Based
- Execute from memory without significant conscious thought or little attention

Test:
Can Person carry on a conversation while performing the task?

Rule Based
- Based on the selection of stored rules derived from one's experience or from a procedure.

Test:
Is the person using IF (symptom X), THEN (situation Y) logic either using a procedure or by conscious mental thought

Knowledge Based
- Person relies on their understanding and knowledge of the system and scientific principles and fundamental theory related to the system to develop a response.

Test:
Is this an unfamiliar situation? (no skill or rule recognizable by the individual)
Which Performance Mode Do You Want To Operate In?

Skill Based
1 in 1,000

Rule Based
1 in 100

Knowledge Based
1 in 2 to 1 in 10
WE ARE HIRED TO DO AN OCCUPATION
OPERATOR-MECHANIC-MOBILE EQUIPMENT OPERATOR-WELDER

THESE OCCUPATIONS REQUIRE US TO DO JOBS

THESE JOBS CONTAIN TASKS

THESE TASKS CONTAIN ACTIONS (STEPS)

LOCK-OUT-TAG-OUT THIS PUMP

DRIVE FORK LIFT INTO THIS TIGHT PLACE TO HANDLE THIS LOAD THIS WAY

HANG LOCKS ON EACH ELECTRICAL SUPPLY

HANG LOCKS ON EACH WATER SUPPLY

LIFT LOAD UP TO SEE TIGHT SPACE

LOAD GOES INTO AREA IN TRUCK
Top 10 Error Traps

- Stress
- Multi-tasking/High work load
- Time pressure
- Poor communications
- Vague/poor written guidance
- Overconfidence
- Infrequent or first time task
- Distractions
- First working day following time off > 4 days
- The end of a shift or extended shift

All Feel the Same

Put us in or keep us in Knowledge Based Mode

Interact With Other Traps
Human Error Reduction Tools

• Pre-Task Brief
• Questioning Attitude
• Pause When Unsure – Seek Out Help
• Three-Way Communication
• Place Keeping
• STAR: Stop, Think, Act, Review
Smelter
Recordable Summer Rate
May, June, July, August

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What We’ve Learned About

Fatalities

and Other Low Probability High Consequence Events

- Have multiple causes
- Surface at different locations
- At times seem hard to predict and foresee
- Involve many people operating at different levels
- Involve gaps in work environment, work method, organization, equipment/process and individual defenses
- Know no boundaries, often showing up where we least expect
  - Including locations with low TRIR and strong fatality prevention programs
  - Everyone should believe they are vulnerable
- Magnify latent conditions that went undetected
- Often point out lessons from our past that we have not applied or institutionalized
- Often result from simple deviations from safe work practices that often became normalized

....almost always involve some gap in leadership!
Even if that gap is temporary!

Adapted from James Reason’s Managing the Risk of Organizational Accidents
What was holding us back?

- Over-confidence is fueled by one’s past success.
  - A good TRIR creates a perceived “comfort zone” that risk is in control
  - Often, there is no plan for identifying and managing the “high” risks – daily
  - Misses the “changes in conditions, boundary issues or non-routine work”

- Tendency to view people as a constant vs. biggest variable in the “Safety Equation”.
  - Ability to recognize hazards and perception of risk
  - The choices they make and actions they take

- The tolerance of contracted services risk is extremely high and the oversight is weak.

- Follow-up often stops short of pursuing the systemic drivers of individual deviation.

- Mismatch between resource capabilities and the magnitude of the challenge.

- Reliance on single-layers of protection where the risk is high, deviation potential is high and ability to test and verify is low.

- Supervision with a widening span of control.
  - Supervisor to crew ratio
  - Less available time for direct-in-the field supervision of new to job employees
  - Less available time for coaching and verification
Fatality Prevention

Starts With Leadership

Senior Line Leadership is Essential to Set the Tone for a Culture that Promotes

- Effective Communication
- Proactive Hazard Recognition
- Risk Assessment and Management

All Essential Building Blocks for Effective Fatality Prevention.
Leaders Need to be Aware of the Fatality Potential Within Their Sphere of Influence or Control.
A sustainable process must be in place to:

- Pass on our Institutional Knowledge About Historical Fatalities to the Next Generation.
- Review Major Incidents and other Injury Free Events with Fatality Potential
- Ensure Lessons Learned are Communicated
- Where Similar Risks Exist, Corrective Actions are Taken to Eliminate or Mitigate Those Potentials

Mechanisms Used to Warn of Known High Risk, Low Probability Potentials Include but are not Limited to:

- Project Environment, Health and Safety Reviews
- Work Permits Systems
- Pre-job Safety Plans
- Pre-job Briefings
- Feedback associated with Field Observations!
Leaders Need a Process to Test and Reinforce a Culture that Manages the High Risk Exposures DAILY!

- **Field Observations Allow Leadership to Test Reality** on the floor and helps identify where the organization is vulnerable.
  - Highlights when what’s on paper doesn’t match actual practice.
  - Informs us of the unexpected or non-routine that we did not expect or did not know existed.

- **Employee Contacts or Engagement**
  - Ensures employees participate in the identification of significant risks and what to do to protect against them.
  - Receives Major Incident communications and lesson’s learned are applied at the floor level.
  - Ensures employees participate in High Risk Task of the Day dialogues, work permits, pre-job safety plans and pre-job briefings.

- **Process Safety Reviews and Management of Change**
  - Identifies potential sources of accidental releases and safety incidents with the potential for catastrophic consequences.
  - Ensures maintenance materials, and spare parts are fabricated and installed consistent with design specifications.
  - Maintains systems for critical process-related equipment, procedures, training, inspections, and testing to ensure ongoing mechanical integrity.
  - Completed for all newly installed or modified processes or equipment.
Field Involvement & Observation Are Effective in Error Prevention

• With good observation techniques, **70-85%** of individual human errors can be identified by a co-worker or supervisors.

• About **60-70%** of the latent conditions or other causes can be effectively identified and corrected by **Supervisors** before the human errors are made.
People Are The Greatest Single Variable
Don’t wait for the perfect moment.
Do not let what you cannot do interfere with what you can do.
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